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Effective on 10/01/2004. Patent fees are subject to annual revision.

FEE TRANSMITTAL

For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)
 1456
Complete if Known

Application Number	10/820,163
Filing Date	April 6, 2004
First Named Inventor	Roger C. Bowser
Examiner Name	Unknown
Art Unit	2821
Attorney Docket No.	220-009-2

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order
 Deposit Account None

 Deposit Account Number
501324

Deposit Account Name

The Director is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below
 Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17
 Credit any overpayments

to the above-identified deposit account.

 Other (please identify): _____

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
FEE CALCULATION
1. BASIC FILING FEE

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid (\$)
Utility Filing Fee	790	395	<u>395</u>
Design Filing Fee	350	175	_____
Plant Filing Fee	550	275	_____
Reissue Filing Fee	790	395	_____
Provisional Filing Fee	160	80	_____

Subtotal (1) \$ 395
FEE CALCULATION (continued)

2. EXTRA CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20	18	9
Each independent claim over 3	88	44
Multiple dependent claims	300	150
For Reissues, each claim over 20 and more than in the original patent	18	9
For Reissues, each independent claim more than in the original patent	88	44

 Total Claims 42 - 20 or HP = 22 x 9 = 198
 HP = highest number of total claims paid for, if greater than 20

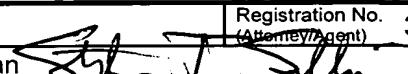
 Indep. Claims 10 - 3 or HP = 7 x 44 = 308
 HP = highest number of independent claims paid for, if greater than 3

 Multiple Dependent Claims Fee (\$) Fee Paid (\$)

Subtotal (2) \$ 506
3. OTHER FEES

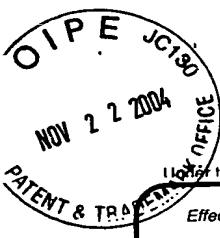
Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid (\$)
1-month extension of time	110	55	_____
2-month extension of time	430	215	_____
3-month extension of time	980	490	<u>490</u>
4-month extension of time	1,530	765	_____
5-month extension of time	2,080	1,040	_____
Information disclosure stmt. fee	180	180	_____
37 CFR 1.17(q) processing fee	50	50	_____
Non-English specification	130	130	_____
Notice of Appeal	340	170	_____
Filing a brief in support of appeal	340	170	_____
Request for oral hearing	300	150	_____
Other: _____			

Subtotal (3) \$ 490
SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	32,444	Telephone	(602) 956-6161
Name (Print/Type)	Stephen T. Sullivan 			Date	November 22, 2004

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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PTO/SB/17 (11-04) Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Effective on 10/01/2004. Patent fees are subject to annual revision.

FEE TRANSMITTAL For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

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Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**
 $42 - 20 \text{ or HP} = 22 \times 9 = 198$

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Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**
 $10 - 3 \text{ or HP} = 7 \times 44 = 308$

HP = highest number of independent claims paid for, if greater than 3

Multiple Dependent Claims **Fee (\$)** **Fee Paid (\$)**
 $_____$

Subtotal (2) \$ 506

3. OTHER FEES

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Name (Print/Type) Stephen T. Sullivan		Date November 22, 2004

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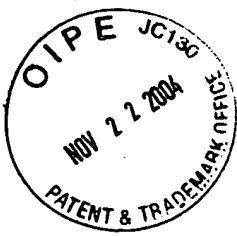
CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL 988282983 US

Date of Deposit: November 22, 2004

I hereby certify that this Petition for Extension of Time, Fee Transmittal for FY 2005 (2 copies) and check are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sarah DeLaRosa



PATENT
Docket No. 220-009-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Roger C. Bowser et al.) Preliminary
Entitled: "LIGHTING DEVICE,) Group Art Unit: 2821
COMPONENTS THEREFOR, AND)
METHOD OF MANUFACTURE") Examiner: Unknown
Serial No.: 10/820,163)
Filed: April 6, 2004)

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Applicants hereby respond to the Notice to File Missing Parts of Nonprovisional Application mailed on June 22, 2004, the period for response having been extended three months to November 22, 2004. Attached hereto and submitted herewith are the return copy of the Notice, a Petition for Extension of Time, and a check to cover the petition fee and the fees identified in the Notice.

This application qualifies under the small entity provision of 37 C.F.R. § 1.27, and Applicant hereby claims status thereunder. The attached check for \$1,449.00 is attached to cover the filing fee (\$395), the fee for a three month extension of time

(\$490), late declaration surcharge (\$65) and additional claims fee (\$506). If there are any other fees due in connection with this application, please charge the fees to our Deposit Account No. 501324.

Dated: November 22, 2004

Respectfully submitted,



Stephen T. Sullivan
Reg. No. 32,444

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL 988282983 US

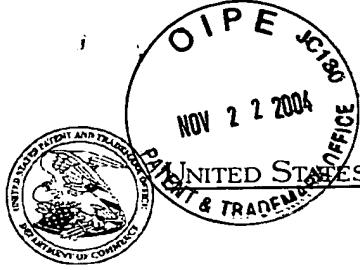
Date of Deposit: November 22, 2004

I hereby certify that this Response to Notice to File Missing Parts of Nonprovisional Application with the return copy of the Notice, Fee Transmittal for FY 2005 (2 copies) and check are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R.

§ 1.10 on the date indicated above and is addressed to MS MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



JFW



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/820,163	04/06/2004	Roger C. Bowser	220-009-2

33461
 SULLIVAN LAW GROUP
 1850 NORTH CENTRAL AVENUE
 SUITE 1140
 PHOENIX, AZ 85004

CONFIRMATION NO. 7030
 FORMALITIES LETTER



OC000000013020664

Date Mailed: 06/22/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$499** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$949** for a Small Entity

	11/26/2004 CCHAU1	00000055 10820163	
• \$385 Statutory basic filing fee.	01 FC:2001	395.00 0P	
• \$65 Late oath or declaration Surcharge.	02 FC:2051	65.00 0P	
	03 FC:2202	198.00 0P	
	04 FC:2201	308.00 0P	
• Total additional claim fee(s) for this application is \$499			JUN 28 2004

SULLIVAN LAW GROUP
 ATTORNEYS AT LAW

- **\$301** for **7** independent claims over 3.
- **\$198** for **22** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY